

COMPLIANCE BRIEFING EVENTS BY:



**TOPICS COVERED:
RISK APPROACH FOR CSPs AND TRUSTEES
AND BENEFICIAL OWNERS' DATABASE**

The 4th AML Directive imposes requirements on Subject Persons to adopt a fully integrated Risk Approach. The measurement of risk and mitigation techniques are essential compliance requirements which go to the heart of the new regulatory framework. In addition, new requirements for the maintenance of the Beneficial Owner Register set out additional compliance obligations particularly for CSPs and Trustees.

The objective of this Compliance briefing is to provide a practical and hands on understanding of such important concepts and requirements. This course is intended for Directors, MLROs, Designated Employees and Officers responsible for AML requirements (client facing) at companies that are subject to AML and CFT requirements

Date: 21st February 2018

Time: 14:30 – 18:00

Venue: The Victoria Hotel

Speakers:

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Ms Claire Camilleri Gauci



Claire Camilleri Gauci is the founder of AID Compliance. She is a leading Compliance Specialist in the financial sector and serves as Compliance Officer, MLRO and Director for various licensed financial entities in Malta. Ms Camilleri Gauci also provides compliance consultancy to major financial entities on the implementation of European Union Directives such as MIFID and AIFMD.

Dr Roberta Farrugia Brockdorff



Roberta Farrugia Brockdorff is a University of Malta Doctor of Laws graduate and a Warranted Lawyer. She has over four years' experience in the Compliance sector. Roberta started her working experience with a Maltese CSP and Trustee firm where her responsibilities included servicing clients and dealing with Compliance matters. She joined the AID Compliance team in 2017, where she is now gaining more experience in current Compliance legislations.

Price: €170 (20% discount for current AID clients)

Persons interested in attending the course are required to send an email to info@aidcompliance.com. The attendance will be confirmed only following receipt of payment. AID will hold such seminars only where a minimum of 10 attendees are confirmed. If this quota is not reached and the seminar is not held, AID will refund the fees paid.

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